

FINAL PROPOSED TEXT

Underline indicates added or amended text

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15 CCR § 8299

§ 8299. Conflict of Interest Code.

The Political Reform Act (Government Code section 81000 et seq.) requires state and local government agencies to adopt and promulgate ~~Conflict-of-Interest~~ codes. The Fair Political Practices Commission has adopted a regulation, Title 2, California Code of Regulations, section 18730, which contains the terms of a standard ~~Conflict-of-Interest~~ code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of Title 2, California Code of Regulations, section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation along with the attached Appendices, designating positions and establishing disclosure categories, shall constitute the ~~Conflict-of-Interest~~ code of the California Prison Industry Authority (CALPIA), which includes the Prison Industry Board.

Individuals holding designated positions shall file their statements of economic interests with CALPIA, which will make the statements available for public inspection and reproduction. (Government Code sec. 81008.) Members of the Prison Industry Board, and General Manager must file their statements of economic interests electronically with the Fair Political Practices Commission. ~~Upon receipt of the statements for the members of the Prison Industry Board, and General Manager, CALPIA shall make and retain copies and forward the originals to the Fair Political Practices Commission.~~ All other statements will be retained by CALPIA.

Note: Authority cited: Sections 12838.5, 87300 and 87302, Government Code; and Section 2809, Penal Code. Reference: Sections 87300, 87302 and 87306, Government Code; and Sections 2800, 2802 and 2808, Penal Code.

HISTORY

1. Renumbering of former section 8199 to section 8299 filed 11-13-2018; operative 1-1-2019 (Register 2018, No. 46).

This database is current through 11/22/19 Register 2019, No. 47

15 CCR § 8299, 15 CA ADC § 8299

Appendix A

Designated Positions - California Prison Industry Authority (CALPIA)

<i>Designated Position (Statutory or Working Title)</i>	<i>Disclosure Category</i>
Executive Offices	
General Manager	1
General Counsel	1
Attorney (All Levels)**	1
Chief, External Affairs	1
<u>Special Assistant to General Manager</u>	<u>2</u>
Consultant(s)*	1
Administration Division	
Chief, Administrative Officer	1
Chief, Business Support Services	1
Contract and Procurement Manager <u>Staff Services Manager (All Levels)**</u> , Procurement and Contracts Unit	1
Contract Analyst (SSA and AGPA)	8
<u>Associate Governmental Program Analyst, Procurement and Contracts Unit</u>	<u>8</u>
<u>Staff Services Analyst, Procurement and Contracts Unit</u>	<u>8</u>
Business Services Officer <u>(All Levels)**</u>	5, 6, 8
Business Services Assistant	5, 6, 8
Chief, Human Resources	3, 8
<u>Staff Services Manager (All Levels)**</u> , Staff Development Unit	3, 8
Chief, Management Information Services	9
Data Processing Manager III	9
Data Processing Manager II	9
Data Processing Manager I	9
Senior Information System Analyst	9
Systems Software Specialist (Supervisory) (All Levels)	9
Systems Software Specialist (Technical) I and II	9
<u>Management Information Systems/Project Management Office</u>	
Chief Information Officer , Management Information Services	19
<u>Information Technology Manager (All Levels)**</u>	<u>9</u>
<u>Information Technology Supervisor (All Levels)**</u>	<u>9</u>
<u>Information Technology Specialist (All Levels)**</u>	<u>9</u>
<u>Staff Services Manager, Project Management Office (All Levels)**</u>	<u>11</u>

<u>Associate Governmental Program Analyst, Project Management Office</u>	<u>11</u>
Fiscal Services Division	
Chief Financial Officer	1
Chief, Budget Bureau <u>Budgeting and Financial Planning Section</u>	8
Chief, Financial Controller Accounting Services	<u>18</u>
Accounting Administrator II and III (All Levels)**	8
Accounting Administrator I (Supervisor and Specialist)	8
Manager, Inventory Management Unit	8
Manager, Quality Management Section	3, 8
Lead ISO Auditor	3, 8
Special Assistant to Chief Financial Officer	8
Marketing Division	
Assistant General Manager – Marketing	1
Chief, Marketing Services	4
Products Management Specialist	8
Sales Manager	1
<u>Staff Services Manager (All Levels)**</u> , Quality Management Section	<u>3, 8</u>
Operations Division	
Assistant General Manager – Operations	1
Branch Manager	1
Prison Industries Administrator	1
Prison Industries Manager	1
Prison Industries Superintendent (All Levels)**	2, 8, 10
Administrative Assistant to AGM - Operations	8
Industrial Warehouse and Distribution Manager (All Levels)**	2, 8, 10
Industrial Warehouse and Distribution Supervisor	2, 7, 8
Construction Supervisor - I <u>and II</u>	4
Construction Supervisor – II	4
Construction Supervisor - III	1
Equipment Maintenance Supervisor I and II (All Levels)**	2, 7, 8
Custodian Supervisor (All Levels)** II and III	8
Associate Industrial Hygienist	8
Associate Product Engineer	8
<u>Workforce Development Branch</u> Industry Employment Program/Joint Venture	
<u>Chief, Workforce Development Branch</u>	<u>1</u>
Prison Industries Administrator	1
<u>Staff Services Manager (All Levels)**</u> , CTE, JV/FV, IEP	<u>8</u>

<u>Free Venture – Private Industries Specialist, Joint Venture Program/Free Venture Program</u>	<u>10</u>
<u>Manager, Industry Employment Program</u>	<u>8</u>
<u>Staff Services Manager II (Supervisor)</u>	<u>8</u>
<u>Associate Governmental Program Analyst, Career Technical Education</u>	<u>8</u>
<u>Staff Services Analyst, Career Technical Education</u>	<u>8</u>
Prison Industry Board	
Board Members***	1
Executive Officer***	1
Consultants(s)/new positions*	4 *

*_Consultants and newly created designated positions shall be included in the list of designated positions and shall disclose financial interests pursuant to the broadest disclosure category in the CALPIA conflict_of_interest_code, subject to the following limitation:

The General Manager and/or Executive Officer of the Prison Industry Board may determine in writing that a particular consultant or newly created designated position, although in a “designated position” has been retained to perform a range of duties that is limited in scope, and therefore, is not required to fully comply with the disclosure requirements of this section. Such written determination shall include a description of the consultant's/new position's duties and, based upon that description, a statement of the extent of the disclosure requirements that apply to that consultant. The General Manager's/Executive Officer's determination is a public record and shall be retained for public inspection in the same manner and location as the CALPIA financial disclosure documents filed pursuant to this conflict_of_interest_code. (Gov. Code Sec. 81008.)

** (All Levels) refers to all levels (i.e., I, II, III, etc.) within the classification series.

***_ Any person holding this position who is already filing a statement with full disclosure and statewide jurisdiction and that statement is forwarded to the Fair Political Practices Commission (FPPC) will not have to file an additional statement under the provisions of this conflict_of_interest_code.

Appendix B

Disclosure Categories – CALPIA

Disclosure Categories:

Category 1:

A designated position in this category must report:

- Interests in real property
- Investments, positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) from entities of the type that may provide services, supplies, materials, machinery or equipment of the type utilized by CALPIA.

Category 2:

A designated position in this category must report investments, positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) from entities of the type that may provide services, supplies, materials, machinery or equipment of the type utilized by CALPIA.

Category 3:

A designated position in this category must report investments, positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) from entities of the type that provide training services and/or consultant services in the field of training, as well as investments, positions in business entities, and sources of income, (including gifts, loans, and travel payments), from unions, entities or individuals that provide labor specialists, and entities or individuals that provide human resources management and departmental employees.

Category 4:

A designated position in this category must report investments, business positions in business entities, and sources of income (including receipt of loans, gifts and travel payments) from entities of the type that:

- Provide construction related services, supplies, materials, machinery or equipment. This includes, but is not limited to, entities that engage in architectural and engineering services, construction alteration, improvements and maintenance. ~~provide construction related services, supplies, materials, machinery or equipment. This includes, but is not limited to, entities that engage in architectural and engineering services, construction alteration, improvements and maintenance.~~
- Engage in services and provide supplies that relate to land development, real property leasing and purchases.

Category 5:

A designated position in this category must report investments, positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) from entities of the type that sell, rent, or service office machines, copiers, and reproduction equipment.

Category 6:

A designated position in this category must report investments, positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) from entities of the type that sell, rent, service food and/or beverage vending machines, provide food and/or beverage vending machine services, including food and/or beverage product items offered for sale within vending machines.

Category 7:

A designated position in this category must report investments, positions in business entities, and sources of income, (including receipt of loans, gifts, and travel payments) from sources of the type that provide any of the following: graphic arts supplies, pressroom equipment, bindery equipment, trucking services, printing and machinery equipment, film projection, or any other paper or printing supplies.

Category 8:

A designated position in this category must report investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) from entities of the type that provide services, materials, or supplies for which the employee's division, office, branch or section has purchasing authority.

Category 9:

A designated position in this category must report investments and business positions in business entities, and sources of income (including receipt of gifts, loans, and travel payments) from information technology and telecommunications sources, including computer hardware or software companies, computer consultant services, training, data processing firms, telecommunications or security equipment and media services.

Category 10:

A designated position in this category must report investments, business positions in business entities, and sources of income (including receipt of gifts, loans, and travel payments) from entities of the type that submit bids to, or contract with, CALPIA to provide to, or purchase from CALPIA: products; services; equipment or machinery; agricultural services, products, including bi-products; veterinary supplies and veterinary services; or, including consulting services.

Category 11:

A designated position in this category must report investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) from entities of the type that provide services, materials, or supplies for capital improvement projects and statewide initiatives.